MUMBAI



14th Floor, Bakhtawar Nariman Point, Mumbai 400 021 India Call +91 22 4346 8000 www.baycapindia.com

## Details of the Investor Complaints received by Bay Capital Investment Advisors Private Limited

## Data for the month ending March 31, 2025

| Sr<br>No | Received<br>From             | Pending<br>at the end<br>of last<br>month |   | Resolved* |   | Pending<br>complaints<br>> 3months | Average<br>Resolution<br>time^<br>(in days) |
|----------|------------------------------|---|---|-----------|---|------------------------------------|---|
| 1        | Directly from<br>Investors   | -   | - | -         | - | -                                  | -   |
| 2        | SEBI<br>(SCORES)             | -   | - | -         | - | -                                  | -   |
| 3        | Other<br>Sources<br>(if any) | -   | - | -         | - | -                                  | -   |
|          | Grand Total                  | -   | - | -         | - | -                                  | -   |

 $^{\wedge}$  Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month

divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints

| Sr<br>No | Month              | Carried forward from<br>previous month | Received | Resolved* | Pending# |
|----------|--------------------|--|----------|-----------|----------|
| 1        | April 30, 2024     | -                                      | -        | -         | -        |
| 2        | May 31, 2024       | -                                      | -        | -         | -        |
| 3        | June 30, 2024      | -                                      | -        | -         | -        |
| 4        | July 31, 2024      | -                                      | -        | -         | -        |
| 5        | August 31, 2024    | -                                      | -        | -         | -        |
| 6        | September 30, 2024 | -                                      | -        | -         | -        |
| 7        | October 31, 2024   | -                                      | -        | -         | -        |
| 8        | November 30, 2024  | -                                      | -        | -         | -        |
| 9        | December 31, 2024  | -                                      | -        | -         | -        |
| 10       | January 31, 2025   | -                                      | -        | -         | -        |
| 11       | February 28, 2025  | -                                      | -        | -         | -        |
| 12       | March 31, 2025     | -                                      | -        | -         | -        |
|          | Grand Total        | -                                      | -        | -         | -        |

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\*Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

| Sr<br>No | Year        | Carried forward from<br>previous Year | Received | Resolved** | Pending## |
|----------|-------------|---------------------------------------|----------|------------|-----------|
| 1        | 2018-19     | -                                     | -        | -          | -         |
| 2        | 2019-20     | -                                     | -        | -          | -         |
| 3        | 2020-21     | -                                     | -        | -          | -         |
| 4        | 2021-22     | -                                     | -        | -          | -         |
| 5        | 2022-23     | -                                     | -        | -          | -         |
| 6        | 2023-24     | -                                     | -        | -          | -         |
| 7        | 2024-25     | -                                     | -        | -          | -         |
|          | Grand Total | -                                     | -        | -          | -         |

## Trend of annual disposal of complaints

\*\* Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.